

Investment Disclosure

Agency Title _____ Agency Code _____

90.40.20.D Investment Disclosure (expressed in dollars)

List amounts of investments by investment type. For investments subject to custodial credit risk, classify the investment into one of the three custodial credit risk categories (as defined in Subsection 90.40.20). List investments by carrying amount and fair value at fiscal year-end. Valuation of investments depends on the type and purpose of the investment. Refer to Subsection 85.52.30.b for further information. Negotiable Certificates of Deposits in GL 12xx should be disclosed below. The Non-negotiable Certificates of Deposits in GL 12xx, from 90.40.20.C, should also be disclosed at the very bottom of this section.

Account _____

Investments Subject to Risk Categorization	Carrying Amount <u>Custodial Credit Risk Category'</u>			Total Carrying Amount	Total Fair Value
	<u>1</u>	<u>2</u>	<u>3</u>		
<i>U.S. Treasury securities:</i>					
Treasury Bills	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
Notes and Bonds	_____	_____	_____	_____	_____
Zero Coupon Bonds	_____	_____	_____	_____	_____
Other Treasury Securities	_____	_____	_____	_____	_____
<i>U.S. Agency securities:</i>					
Zero Coupon Bonds	_____	_____	_____	_____	_____
Collateralized Mortgage Oblig	_____	_____	_____	_____	_____
Asset-backed Securities	_____	_____	_____	_____	_____
Variable Rate Notes	_____	_____	_____	_____	_____
Discount Notes	_____	_____	_____	_____	_____
Other Agency Securities	_____	_____	_____	_____	_____
<i>Private/Corporate securities:</i>					
Corporate Bonds-Domestic	_____	_____	_____	_____	_____
Corporate Bonds-Foreign	_____	_____	_____	_____	_____
Corporate Stocks-Domestic	_____	_____	_____	_____	_____
Corporate Stocks-Foreign	_____	_____	_____	_____	_____
Commercial Paper	_____	_____	_____	_____	_____
Collateralized Mortgage Oblig	_____	_____	_____	_____	_____
Other Mortgage-Backed Sec	_____	_____	_____	_____	_____
Variable Rate Notes	_____	_____	_____	_____	_____
Other Private/Corporate Sec	_____	_____	_____	_____	_____

Investment Disclosure - continued

Investments Subject to Risk Categorization	Carrying Amount <u>Custodial Credit Risk Category</u>			<u>Total Carrying Amount</u>	<u>Total Fair Value</u>
	<u>1</u>	<u>2</u>	<u>3</u>		
<i>Other Investments:</i>					
Negotiable CD's (<i>SIB only</i>)	_____	_____	_____	_____	_____
Bankers' Acceptances	_____	_____	_____	_____	_____
Shares in Closed-End Mutual Funds	_____	_____	_____	_____	_____
Shares in Unit Investment Trusts	_____	_____	_____	_____	_____
Municipal Bonds	_____	_____	_____	_____	_____
Govt Securities-Foreign	_____	_____	_____	_____	_____
Govt Securities-Domestic	_____	_____	_____	_____	_____
Repurchase Agreements	_____	_____	_____	_____	_____
Other: _____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
Subtotal Investments Subject to Risk Categorization	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
Investments Not Subject to Risk Categorization					
Private Equity (Leveraged buy-outs/Venture capital)				_____	_____
Limited Partnerships				_____	_____
Mutual Funds (Open-End)				_____	_____
Participation in Investment Pools of Other Governments				_____	_____
Real Estate				_____	_____
Direct investments in Mortgages and other loans				_____	_____
Annuity Contracts				_____	_____
Guaranteed Investment Contracts				_____	_____
Reverse Repurchase Agreement Investments held by Broker-Dealers:					
U.S. Government Securities				_____	_____
U.S. Instrumentality Securities				_____	_____
Other				_____	_____
Securities Lending Program Investments held by Broker-Dealers:					
U.S. Government Securities				_____	_____
U.S. Agency Securities				_____	_____
Other				_____	_____
Currencies				_____	_____

Investment Disclosure - concluded

Investments Subject to Risk Categorization	Carrying Amount <u>Custodial Credit Risk Category</u>			<u>Total Carrying Amount</u>	<u>Total Fair Value</u>
	<u>1</u>	<u>2</u>	<u>3</u>		
Money Market Funds (short-term investment funds)				_____	_____
Commingled Investment Funds – Domestic				_____	_____
Commingled Investment Funds – Foreign				_____	_____
Securities on Loan – Domestic				_____	_____
Other Investments, Not Subject to Risk Categorization				_____	_____
Subtotal Investments Not Subject to Risk Categorization				\$ _____	\$ _____
Non-negotiable Certificates of Deposit from 90.40.20.C (GL 12xx)				_____	_____
Total Investments**				\$ <u>_____</u>	\$ <u>_____</u>

Reconciliation of Carrying Amount of Investments from 90.40.20.D to AFRS Report
#CAF002:

GL Code 1205	\$ _____
GL Code 1209	_____
GL Code 1210	_____
GL Code 1215, 1216, 1220, 1230, and 1280	_____
Total Carrying Amount	\$ <u>_____</u> **

This total is to agree with the **Total Carrying Amount of Investments.

On the Investments table from 90.40.20.D, any differences between the *Carrying Amount* and the *Fair Value* will be limited to those few instances where policy provides that investments be carried at cost or amortized cost. Refer to Section 85.52. Otherwise, the two columns should agree to each other, and the total Carrying Amount should agree to the amounts recorded in AFRS.

*Refer to Subsection 90.40.20